

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Group Art Unit 3746

Patent Application of

Stephen M. Floyd, et al.

Application No. 10/712,459

Confirmation No.: 9315

Filed: November 13, 2003

Examiner: Ehud Gartenberg

"INTEGRAL HEAT RECOVERY

DEVICE"

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(c) and (e)(1)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the references which are listed on the attached Form PTO/SB/08A and/or PTO/SB/08B and copies of non-U.S. patent references are attached. Citation of these references is respectfully requested.

In accordance with 37 CFR 1.97(c) and (e)(1), the undersigned hereby certifies that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing hereof.

No concession is made that these documents are prior art, and Applicants expressly reserve the right to antedate the documents as may be appropriate.

l, Bridget Laack, hereby certify that this correspondence is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date of my signature.

Signature

Date of Signature

Respectfully submitted,

Thomas J. Otterlee Reg. No. 48,652

File No. 031383-9088 US001

Michael Best & Friedrich LLP 100 East Wisconsin Avenue Suite 3300 Milwaukee, Wisconsin 53202-4108 262-956-6523

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Uni	der the experwork	k Reduction Act	of 1995, no perso	ons are required to respond to a co	lection of information unless it contains a valid OMB contro	. <u>n</u> umber اد	
Substitute in 1449/PTO				Complete if Known			
OGOSTION NO.	9			Application Number	10/712,459		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			OSURE	Filing Date	November 13, 2003		
			ICANT	First Named Inventor	Stephen M. Floyd		
				Group Art Unit	3746		
(use as many sheets as necessary)		Examiner Name	Ehud Gartenberg				
Sheet	1	of	1	Attorney Docket Number	031383-9088 US001		

U.S. PATENT DOCUMENTS					
Examiner Initials		U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	
		2003/0061818	Nash et al	4/3/2003	
		6330910	Bennett	12/18/2001	
		5212942	Malohn	5/25/1993	
	•				

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
	EP	0275121	Maher	1/15/1988		X

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 0231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box Alexandria, VA 22313-1450.